
February 2015

Performance Audit
Recommendations and
Corrective Actions for Audit:
12-07

HUMAN SERVICES
PROGRAMS

Eligibility System Contained
Some Deceased Beneficiaries

Dated: September 12, 2012

Overview

The SAO makes recommendations designed to improve the operations of state government. For our work to produce benefits, auditees or the General Assembly must implement these recommendations, although we cannot require them to do so. Nevertheless, a measure of the quality and persuasiveness of our performance audits is the extent to which these recommendations are accepted and acted upon. The greater the number of recommendations that are implemented, the more benefit will be derived from our audit work.

In 2010, the SAO began to follow-up on the recommendations issued in our performance audits. Experience has shown that it takes time for some recommendations to be implemented. For this reason, we perform our follow-up activities one and three years after the calendar year in which the audit report is issued. Our annual performance reports summarize whether we are meeting our recommendation implementation targets.

<http://auditor.vermont.gov/about-us/strategic-plans-and-performance-reports>

This report addresses the requirements of Act 155 (2012) to post the results of our recommendation follow-up work on our website. The report does not include follow-up on recommendations issued as part of the state's financial statement audit and the federally mandated Single Audit, which are performed by a contractor. However, our current contract for this work requires the contractor to provide the results of its recommendation follow-up.

| Audit Number & Name | Rec # | Recommendation | Follow-Up Date | Status | Review Comments |
|--|-------|---|--|-----------------|--|
| 12-7 HUMAN SERVICES PROGRAMS: Eligibility System Contained Some Deceased Beneficiaries | 1 | The Department of Children and Families (DCF) should obtain death data from the Social Security Administration (SSA) quarterly and match this data to all active beneficiaries in ACCESS through, for example, use of the Death Master File (DMF) or the State Verification and Exchange System (SVES) process. | 2014 | Not Implemented | An Agency of Human Services IT manager reported that ACCESS does not check quarterly for all beneficiaries. |
| | | | 2015 | Not Implemented | DCF provided no evidence of any change since our audit. |
| | 2 | The DCF should develop and communicate requirements to DCF workers related to the permissible duration for the use of a temporary identification number in the place of an Social Security Number (SSN). | 2014 | Not Implemented | DCF provided no evidence of any change since our audit. |
| | | | 2015 | Not Implemented | DCF provided no evidence of any change since our audit. |
| | 3 | DCF should clarify and communicate requirements to DCF workers related to the permissible duration for resolving SSN discrepancies and the evidence that must be presented if the beneficiary asserts that the disputed SSN is correct. | 2014 | Not Implemented | DCF provided no evidence of any change since our audit. |
| | | | 2015 | Not Implemented | DCF provided no evidence of any change since our audit. |
| | 4 | DCF should modify the ACCESS computer program that validates social security numbers SSN to include seasonal fuel assistance beneficiaries. | 2014 | Implemented | Vermont State Auditor's Office (SAO) confirmed by reviewing program code that this recommendation has been implemented. |
| | 5 | DCF should modify the ACCESS computer program that receives the social security number SSN validation file from the SSA to report individuals listed as deceased as a discrepancy and provide this data to workers for follow-up. | No further follow-up is required because the recommendation was implemented. | | |
| | | | 2014 | Implemented | DCF provided the revised code showing the implementation of this recommendation, and informed us that this code has been put into the production environment. |
| | 6 | The Vermont Department of Health (VDH) should implement a process to verify social security numbers SSN with the SSA for Ladies First beneficiaries. | No further follow-up is required because the recommendation was implemented. | | |
| | | | 2014 | Not Implemented | VDH reported that this recommendation has not been implemented. |
| | 6 | | 2015 | Not Implemented | VDH reported that this recommendation has not been implemented. |
| | 7 | VDMH should implement a process to verify SSN's with the SSA for beneficiaries of the Community Rehabilitation and Treatment (CRT) program that are not enrolled in Medicaid. | 2014 | Not Implemented | VDMH reported that this recommendation has not been implemented. |
| | | | 2015 | Not Implemented | VDMH reported that it has no control over the DCF eligibility system and as such is unable to facilitate any further change in the social security verification process. VDMH is notified of CRT enrolled clients' death via the disenrollment process as well as the Critical Incident Reports from the Designated Agencies. However, VDMH has not implemented a process to verify social security numbers with the SSA for beneficiaries of the CRT program that are not enrolled in Medicaid. |