

Note: All sections must be completed. Incomplete forms will be returned to the originating department.

**I. CONTRACT INFORMATION:**

Agency/Department: Auditor of Accounts/ **Contract #: 35472 Amendment #: 4**  
**Vendor Name:** CliftonLarsonAllen LLP **VISION Vendor No: 366757**  
 Vendor Address: 1966 Greenspring Dr. Suite 300, Timonium, MD 21093  
**Starting Date:** 4/01/18 **Ending Date:** 6/30/2023 **Amendment Date:** 12/19/2022  
**Summary of agreement or amendment:** CLA will perform additional procedures (above and beyond procedures required for the State's Annual Comprehensive Financial Report audit) to identify differences between VDOL's trial balance amounts and the supporting detail.

**II. FINANCIAL & ACCOUNTING INFORMATION**

Maximum Payable: \$6,728,600.00 **Prior Maximum:** \$ 6,698,600.00 **Prior Contract # (If Renewal):**  
 0  
**Current Amendment:** \$30,000.00 **Cumulative amendments:** \$ 2,827,015.00 **% Cumulative Change:** 0.45  
**Business Unit(s):** ; ; - [notes: ] **VISION Account(s): 507100;**  
 Estimated Funding Split:  % GF  % SF  % EF  % Other  
 % TF  % GC  % FF  % Other SARF (name)

**III. PROCUREMENT & PERFORMANCE INFORMATION**

A. Identify applicable procurement process utilized.  
 Standard Bid/RFP  Simplified  Sole Source (See B.)  Qualification Based Selection  Statutory  
 B. If Sole Source Contract, contract form includes self-certification language?  Yes  N/A  
 C. Contract includes **performance measures/guarantees** to ensure the quality and/or results of the service?  Yes  No

**IV. TYPE OF AGREEMENT (select all that apply)**

Personal Service  Construction  Arch/Eng.  Marketing  Info. Tech.  Prof. Service  
 Non-Personal Service  Retiree/Former SOV EE  Financial Trans  Zero-Dollar  Privatization  Other  
 Commodity

**V. SUITABILITY FOR CONTRACT FOR SERVICE**

Yes  No  n/a Does this contract meet the determination of an Independent Contractor? If "NO", the contractor must be set up and paid on payroll through the VTHR system.

**VI. CONTRACTING PLAN APPLICABLE**

Is any element of this contract subject to a pre-approved Agency/Dept. Contracting Waiver Plan?  Yes  No

**VII. CONFLICT OF INTEREST**

By signing below, I (Agency/Dept. Head) certify that no person able to control or influence award of this contract had a pecuniary interest in its award or performance, either personally or through a member of his or her household, family, or business.

Yes  No Is there an "appearance" of a conflict of interest so that a reasonable person may conclude that this party was selected for improper reasons: (If yes, explain)

**VIII. PRIOR APPROVALS REQUIRED OR REQUESTED**

Yes  No Agreement must be Certified by the Attorney General under 3 V.S.A. § 342 (sign line #4 below)  
 Yes  No Attorney General review As To Form is required (\$25,000 and above) or otherwise requested: \_\_\_\_\_ (AAG initial)  
 Yes  No Agreement must be approved by the Secretary of ADS/CIO  
 Yes  No Agreement must be approved by the CMO: for Marketing services over \$25,000  
 Yes  No Agreement must be approved by Comm. Human Resources: for Privatization, Retirees, Former Employees, & if a Contract fails the IRS test.  
 Yes  No Agreement must be approved by the Secretary of Administration

**IX. AGENCY/DEPARTMENT HEAD CERTIFICATION; APPROVAL**

I have made reasonable inquiry as to the accuracy of the above information (sign in order):  
 Doug Hoffer 12/16/2022

<b>1-Date</b>	<b>1-Agency/Department Head</b>			<b>2-Date</b>	<b>2-Agency Secretary (if required)</b>
<b>3a-Date</b>	<b>3a-CIO</b>	<b>3b-Date</b>	<b>3b-CMO</b>	<b>3c-Date</b>	<b>3c-Commissioner DHR</b>
<b>4-Date</b>	<b>4-Attorney General</b>			<b>5-Date</b>	<b>5-Secretary of Administration</b>

Contract #35472  
Amendment #4

STATE OF VERMONT  
CONTRACT AMENDMENT

It is hereby agreed by and between the State of Vermont, Office of the State Auditor (the "State") and CliftonLarsonAllen LLP (CLA), with a principal place of business in Timonium, Maryland (the "Contractor") that the contract between them originally dated as of April 1, 2018, Contract #35472, as amended to date, (the "Contract") is hereby amended as follows:

I. **Maximum Amount.** The maximum amount payable under the Contract, wherever such reference appears in the Contract, shall be changed from \$6,698,600 to \$6,728,600, representing an increase of \$30,000.

II. **Attachment A, Scope of Services.** The scope of services will remain unchanged except for the following amendment:

A new paragraph is added to Attachment A, Section B to read:

"19. CLA will perform additional procedures (above and beyond procedures required for the State's Annual Comprehensive Financial Report audit) to identify differences between VDOL's trial balance amounts and the supporting detail."

III. **Attachment B, Payment Provisions.** This price to perform the scope of work in this amendment shall not exceed \$30,000. The Contractor shall only bill for actual time incurred and shall bill the work in this amendment separately from all other work performed under this contract and all previous amendments. Hourly rates shall be billed per the 2022 Rates below.

CLA Staff	2021 Rates	2022 Rates
Partner	\$275	\$280
Manager	\$180	\$184
Senior Auditor	\$138	\$141
Staff Auditor	\$111	\$113
Specialist	\$149	\$152
Subcontractors	\$212	\$216

**Taxes Due to the State.** Contractor certifies under the pains and penalties of perjury that, as of the date this contract amendment is signed, the Contractor is in good standing with respect to, or in full compliance with a plan to pay, any and all taxes due the State of Vermont.

**Certification Regarding Suspension or Debarment.** Contractor certifies under the pains and penalties of perjury that, as of the date this contract amendment is signed, neither Contractor nor Contractor's principals (officers, directors, owners, or partners) are presently debarred, suspended, proposed for debarment, declared ineligible or excluded from participation in federal programs, or programs supported in whole or in part by federal funds. Contractor

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further certifies under pains and penalties of perjury that, as of the date this contract amendment is signed, Contractor is not presently debarred, suspended, nor named on the State’s debarment list at: <http://bgs.vermont.gov/purchasing-contracting/debarment>

This document consists of 2 pages. Except as modified by this Amendment No. 4, all provisions of the Contract remain in full force and effect.

*The signatures of the undersigned indicate that each has read and agrees to be bound by this Amendment to the Contract.*

**STATE OF VERMONT**

DocuSigned by:  
**By:** Doug Hoffer  
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**Name:** \_\_\_\_\_

**Title:** \_\_\_\_\_

12/16/2022

**Date:** \_\_\_\_\_

**CliftonLarsonAllen LLP**

DocuSigned by:  
**By:** Bill Early  
41DF7973FD93459...

**Name:** \_\_\_\_\_

**Title:** \_\_\_\_\_

12/20/2022

**Date:** \_\_\_\_\_