

**State Auditor's Office: Published Work Fiscal Years 2014 - 2016**

Performance Audits	FY 2014				FY 2015				FY 2016			
	1st Q	2nd Q	3rd Q	4th Q	1st Q	2nd Q	3rd Q	4th Q	1st Q	2nd Q	3rd Q	4th Q
Office of Risk Management - State Workplace Safety Program		July 22, 2013										
Agency of Transportation Contracts		Sept. 17, 2013										
Dept. of Corrections - CCS contract for inmate health care			Oct. 28, 2013									
State-issued Cell Phones - ANR, AOT, DCF & DPS			Nov. 4, 2013									
Sex Offender Registry Part 2 - DOC, DPS & VCIC					July 16, 2014							
Designated Agencies Oversight - DOH, DMH, DAIL, DVHA									Oct. 15, 2014			
Dept. of Liquor Control - Privatization and management										Nov. 24, 2014		
Vermont State Agency Energy Plan - Evaluation											Mar. 5, 2015	
Vermont Health Connect										April 16, 2015		
Dept. of Corrections - Transitional Housing Program											June 24, 2015	
Dept. of Labor - Employee misclassification												Aug. 31, 2015
Vermont Health Connect - follow-up												Nov. 19, 2015
Agency of Education - Contracting for Race To The Top												Dec. 17, 2015
Judiciary - Collection of payments due for Public Defender services												Jan. 20, 2016
Agency of Education - Equalized pupil calculations												Mar. 15, 2016
Dept. of Taxes - Receivables												April 18, 2016

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**State Auditor's Office: Published Work and Work in Progress Fiscal Years 2016 - 2019**

Performance Audits	FY 2016		FY 2017				FY 2018				FY 2019	
	3rd Q	4th Q	1st Q	2nd Q	3rd Q	4th Q	1st Q	2nd Q	3rd Q	4th Q	1st Q	2nd Q
Employee performance evaluations - DII, F&M and HR			July 26, 2016									
Dept. for Children & Families and DVHA - Beneficiary fraud			Sept. 15, 2016									
Dept. of VT Health Access (DVHA) - Evaluation of VITL			Oct. 3, 2016									
Description of the State's web portal services - DII and VIC			Oct. 26, 2016									
Dept. of Buildings & General Services - Capital projects					June 19, 2017							
Dept. of Human Resources & AHS - Employee Misconduct Investigations					June 26, 2017							
Dept. of Environmental Conservation - Enforcement					Sept. 29, 2017							
AOT - Municipal responses to State bridge inspection findings									April 10, 2018			
Agency of Agriculture - Review Best Mgmt. Practices grant program									July 2, 2018			
Dept. of DAIL - Review internal controls for Choices for Care									July 30, 2018			
Dept. of Taxes - Business Tax Abatements & Write-Offs											Oct. 8, 2018	

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**State Auditor's Office: Published Work and Work in Progress Fiscal Years 2018 - 2019**

Performance Audits	FY 18	FY 2019																				
	4th Q	1st Q	2nd Q	3rd Quarter																		
				31-Dec	7-Jan	14-Jan	21-Jan	28-Jan	4-Feb	11-Feb	18-Feb	25-Feb	4-Mar	11-Mar	18-Mar	25-Mar						
DVHA - Medicaid premiums, delinquent payments and claims																						
Agency of Commerce - EB-5																						
Child Protection Registry - Are entities checking the registry as required																						
DMV - Assess effectiveness of revenue processing systems																						

**Audit Objectives for Work in Progress**

DVHA - Medicaid premiums, delinquent payments & claims	1) To assess the extent to which DVHA collects Medicaid premiums; and 2) To assess the effect that delinquent payments have on Medicaid eligibility and claims.
Agency of Commerce - EB-5	Determine when the State first became aware of alleged fraud in the Quiros-Stenger EB-5 projects and describe the actions the State took to follow up on these allegations.
Child Protection Registry (CPR)	Assess whether entities legally required to request checks of CPR records submitted requests during 2016 and 2017.
Department of Motor Vehicles	Assess whether DMV's revenue processing systems ensure timely and accurate collection, accounting, and reporting.

**See next page for Tax Increment Financing Audit Reports**



**State Auditor's Office: Other Investigative Reports Fiscal Years 2014 - 2017**

Other Reports	FY 14	FY 2015				FY 2016				FY 2017			
		1st Q	2nd Q	3rd Q	4th Q	1st Q	2nd Q	3rd Q	4th Q	1st Q	2nd Q	3rd Q	4th Q
Agency of Transportation - Liquidated damages		May 5, 2014											
Green Mountain Care Board - VHCURES		June 25, 2014											
Public Service Board - Recordings of proceedings & public records			Sept. 3, 2014										
ANR/Forest & Parks - Vermont's land leases with ski areas				Jan. 20, 2015									
Gruber Contract Memorandum				Feb. 23, 2015									
Designated Agencies - Executive compensation				April 30, 2015									
E911 Memorandum				June 2, 2015									
Vermont Training Program - Compliance and performance				Sept. 15, 2015									
Sole Source Contracts - AOE, AHS/CO, BGS, DCF and DVHA				Dec. 14, 2015									
Medical price and quality transparency - Act 54 and Beyond											Dec. 12, 2016		
Memorandum on public safety spending in Vermont													Jan. 31, 2017

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## State Auditor's Office: Financial and Compliance Audits

Financial & Compliance Audits	FY 2016	FY 2017	FY 2018	FY 2019
Federal Single Audit (A-133 Compliance audit, KPMG)		Mar. 30, 2016	Mar. 28, 2017	Mar. 22, 2018
Comprehensive Annual Financial Report audit (KPMG)	Dec. 29, 2015	Dec. 27, 2016	Dec. 21, 2017	
<b>Recommendation Follow-up<sup>1</sup></b>	Jan. 15, 2016	Feb. 13, 2017	Feb. 1, 2018	

<sup>1</sup> Tracking audit recommendations and following up on their implementation at periodic intervals is a key step in maximizing the value of audits. Recommendation follow-up is performed on an annual basis.