

State Auditor's Office: GAGAS Audits Published in Fiscal Years 2014 - 2016

Performance Audits	FY 2014				FY 2015				FY 2016			
	1st Q	2nd Q	3rd Q	4th Q	1st Q	2nd Q	3rd Q	4th Q	1st Q	2nd Q	3rd Q	4th Q
Office of Risk Management - State Workplace Safety Program		July 22, 2013										
Agency of Transportation Contracts		Sept. 17, 2013										
Dept. of Corrections - CCS contract for inmate health care			Oct. 28, 2013									
State-issued Cell Phones - ANR, AOT, DCF & DPS			Nov. 4, 2013									
Sex Offender Registry Part 2 - DOC, DPS & VCIC					July 16, 2014							
Designated Agencies Oversight - DOH, DMH, DAIL, DVHA								Oct. 15, 2014				
Dept. of Liquor Control - Privatization and management								Nov. 24, 2014				
Vermont State Agency Energy Plan - Evaluation										Mar. 5, 2015		
Vermont Health Connect									April 16, 2015			
Dept. of Corrections - Transitional Housing Program										June 24, 2015		
Dept. of Labor - Employee misclassification											Aug. 31, 2015	
Vermont Health Connect - follow-up											Nov. 19, 2015	
Agency of Education - Contracting for Race To The Top												Dec. 17, 2015
Judiciary - Collection of payments due for Public Defender services												Jan. 20, 2016
Agency of Education - Equalized pupil calculations												Mar. 15, 2016
Dept. of Taxes - Receivables												April 18, 2016

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State Auditor's Office: GAGAS Audits Published in Fiscal Years 2016 - 2019

Performance Audits	FY 2016		FY 2017				FY 2018				FY 2019	
	3rd Q	4th Q	1st Q	2nd Q	3rd Q	4th Q	1st Q	2nd Q	3rd Q	4th Q	1st Q	2nd Q
Employee performance evaluations - DII, F&M and HR			July 26, 2016									
Dept. for Children & Families and DVHA - Beneficiary fraud			Sept. 15, 2016									
Dept. of VT Health Access (DVHA) - Evaluation of VITL			Oct. 3, 2016									
Description of the State's web portal services - DII and VIC			Oct. 26, 2016									
Dept. of Buildings & General Services - Capital projects							June 19, 2017					
Dept. of Human Resources & AHS - Employee Misconduct Investigations							June 26, 2017					
Dept. of Environmental Conservation - Enforcement								Sept. 29, 2017				
AOT - Municipal responses to State bridge inspection findings										April 10, 2018		
Agency of Agriculture - Review Best Mgmt. Practices grant program											July 2, 2018	
Dept. of DAIL - Review internal controls for Choices for Care											July 30, 2018	
Dept. of Taxes - Business Tax Abatements & Write-Offs												Oct. 8, 2018

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State Auditor's Office: GAGAS Audits Published in Fiscal Years 2018 - 2021

Performance Audits	FY 18	FY 2019				FY 2020				FY 2021				FY 22
	4th Q	1st Q	2nd Q	3rd Q	4th Q	1st Q	2nd Q	3rd Q	4th Q	1st Q	2nd Q	3rd Q	4th Q	1st Q
DVHA - Medicaid premiums, delinquent payments and claims		April 10, 2019												
Child Protection Registry - AHS: Are entities checking the registry			Dec. 16, 2019											
Child Protection Registry - AOE: Are entities checking the registry			Dec. 16, 2019											
DMV - Assess effectiveness of revenue processing systems			Terminated June 25, 2020											
AHS, DVHA & GMCB - Vermont's Affordable Care Organization (ACO)				June 26, 2020										
Agency of Commerce - EB-5							Sept. 8, 2020							
Independent Schools - Oversight and regulatory differences										Dec. 21, 2020				
Independent Schools - Trends in students and public funding												March 30, 2021		
All-Payer Accountable Care Organization Cost Accounting											June 21, 2021			
Emergency Economic Recovery grant program (CRF)														Sept. 21, 2021

Terminated Audit

DMV audit objective: How does DMV ensure timely & accurate revenue processing.	The Auditor's Office began the audit in Nov. 2018 and terminated it due to staff shortages in 2019 & 2020 that made timely completion impossible. Being unable to complete the audit within the planned timeline, the relevance of the information covered by the audit was significantly diminished.
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State Auditor's Office: Investigative Reports Published in Fiscal Years 2014 - 2017

Other Reports	FY 14	FY 2015				FY 2016				FY 2017			
		1st Q	2nd Q	3rd Q	4th Q	1st Q	2nd Q	3rd Q	4th Q	1st Q	2nd Q	3rd Q	4th Q
Agency of Transportation - Liquidated damages		May 5, 2014											
Green Mountain Care Board - VHCURES		June 25, 2014											
Public Service Board - Recordings of proceedings & public records			Sept. 3, 2014										
ANR/Forest & Parks - Vermont's land leases with ski areas				Jan. 20, 2015									
Gruber Contract Memorandum				Feb. 23, 2015									
Designated Agencies - Executive compensation				April 30, 2015									
E911 Memorandum					June 2, 2015								
Vermont Training Program - Compliance and performance						Sept. 15, 2015							
Sole Source Contracts - AOE, AHS/CO, BGS, DCF and DVHA							Dec. 14, 2015						
Medical price and quality transparency - Act 54 and Beyond											Dec. 12, 2016		
Memorandum on public safety spending in Vermont													Jan. 31, 2017

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Other Reports	FY 2017				FY 2018				FY 2019			
	1st Q	2nd Q	3rd Q	4th Q	1st Q	2nd Q	3rd Q	4th Q	1st Q	2nd Q	3rd Q	4th Q
Medical price and quality transparency - Act 54 and Beyond			Dec. 12, 2016									
Memorandum on public safety spending in Vermont			Jan. 31, 2017									
Economic Development Literature Review									July 18, 2018			
State of Vermont Prescription Drug Benefit Program									Sept. 17, 2018			
Examine requests for automated license plate recognition data									Sept. 27, 2018			
Sheriff's - Update the uniform system of accounts											Jan. 16, 2019	
Visibility of and access to public information - budgets, contracts, etc.												March 25, 2019

Other Reports	FY 2020				FY 2021	
	1st Q	2nd Q	3rd Q	4th Q	1st Q	2nd Q
ANR, AAFM, AOT, ACCD and AOA - Lake Champlain Clean-Up		July 15, 2019				
Evaluate Reporting on OneCare's Community-Based Initiatives		Oct. 23, 2019				
Agency of Commerce - Remote worker grant program		Nov. 12, 2019				
Vermont health care expenditures - Trends					Aug. 18, 2020	
VEPC - VEGI: Marvell Technologies - A Case Study					Aug. 24, 2020	
Vermont health care - Affordability						Oct. 12, 2020
VEPC - VEGI due diligence						Dec. 8, 2020

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State Auditor's Office: Investigative Reports Published in Fiscal Years 2021 - 2023

Other Reports	FY 2021			FY 2022				FY 2023		
	2nd Q	3rd Q	4th Q	1st Q	2nd Q	3rd Q	4th Q	1st Q	2nd Q	3rd Q
Dairy - Accounting of State spending for support & environmental mitigation			May 10, 2021							
Dept. of Labor - Workforce development performance				Sept. 29, 2021						
State of Vermont: Price variations for common medical services provided to state employees						Nov. 10, 2021				
Evaluation of the State's Annual Outcomes Report							Jan. 24, 2022			
Homelessness - Accounting of State Spending & Performance								July 28, 2022		
VT Community Broadband Board, CUDs, DPS, etc. - Broadband buildout										March 21, 2023

Other Reports	FY 2023	FY 2024																
	4th Q	1st Q	2nd Quarter															
			1-Oct	8-Oct	15-Oct	22-Oct	29-Oct	5-Nov	12-Nov	19-Nov	26-Nov	3-Dec	10-Dec	17-Dec	24-Dec			
Vermont Technical College - Dental Therapy Program		Sept. 22, 2023																
Vermont Ten-Year Telecommunication Plan		Sept. 29, 2023																
Executive Branch Rulemaking - Timing																		

Audit Objectives for Work in Progress

Executive Branch Rulemaking - Timing	Review of the Executive Branch response to legislatively mandated rulemaking.
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State Auditor's Office: Financial and Compliance Audits

Financial & Compliance Audits	FY 2015		FY 2016		FY 2017		FY 2018		FY 2019	
Federal Single Audit (A-133 Compliance audit, KPMG)		Mar. 30, 2016		Mar. 28, 2017		Mar. 22, 2018		Mar. 25, 2019		Mar. 30, 2020
Comprehensive Annual Financial Report audit (KPMG)		Dec. 29, 2015		Dec. 27, 2016		Dec. 21, 2017		Dec. 21, 2018		Dec. 19, 2019

Financial & Compliance Audits	FY 2020		FY 2021		FY2022		FY2023	
Federal Single Audit (A-133 Compliance audit, CLA)			Sept. 24, 2021		Sept. 20, 2022		March 20, 2023	
Annual Comprehensive Financial Report audit (CLA)		Dec. 30, 2020		Dec. 23, 2021		Dec. 22, 2022		